

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	10098824
<b>Application Number:</b>	09465607
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9080
<b>Title of Invention:</b>	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM
<b>First Named Inventor/Applicant Name:</b>	TIMOTHY M. KEISER
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<b>Filer:</b>	Thomas Damon Bradshaw/David Postolski
<b>Filer Authorized By:</b>	Thomas Damon Bradshaw
<b>Attorney Docket Number:</b>	98-HSX001-C1
<b>Receipt Date:</b>	16-MAY-2011
<b>Filing Date:</b>	17-DEC-1999
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1650
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Notice of Appeal Filed	98-HSX001-C1_110516_NOA_and_ext.pdf	24750 4b89b67d6a41c459a71e891800b2a3c0752438	no	2
Warnings:					
Information:					
2	Pre-Brief Conference request	98-HSX001-C1_110516_Pre-Appeal_Brief.pdf	45951 672caa0b6a636a694804cd98b155f8b0067a0d	no	5
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	32779 44700d447cc7fb3c9fc3ec7ebc51bb108f1d251d	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			103480		

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.